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**AAO Foundation Final Report Form
(a/o 1/3/2018)**

Type of Award: Research Aid Award

Name(s) of Principal Investigator: Tarek Elshebiny

Institution: Case Western Reserve University

Title of Project: **Comparison between Imaging Software Packages for Three Dimensional Measurements of The Upper Airway Volume and Accuracy of 3D Superimposition.**

Period of AAOF Support (e.g. 07-01-18 to 06-30-19):

Amount of Funding : \$5000

Summary/Abstract

Introduction: Several imaging software packages report ability to perform different procedures, but results are sometimes inconsistent, making it difficult for practitioners to communicate and compare values. The aim of this study was to compare 4 imaging software packages for measuring the upper airway volumes (UAV) and maximum constriction areas (MCA) and to compare 3D voxel based superimposition between Dolphin 3D and OnDemand3D for growing and non-growing patients.

Methods: For the airway measurements twenty eight cone-beam computed tomography (CBCT) scans were randomly selected, UAV and MCA were calculated by two experienced operators, separately. Dolphin3D, InVivo Dental, OnDemand3D, and ITK-SNAP software programs were used to measure the UAV and the MCA. The measurements were repeated after 2 weeks, and interclass and intraclass correlation coefficients were used for the reliability tests. A repeated measurements analysis of variance (ANOVA) test and post-hoc tests were used to compare software programs. For the 3D voxel based superimposition comparison, the sample consisted of 15 growing patients and 15 non-growing patients, each with two previously taken CBCT at least 2 years apart. The mean age at initial scan (T1) for the growing sample was 11.8 ± 0.5 , with the second scan (T2) at 14.2 ± 0.8 years. In the non-growing group, T1 had an average age of 24.7 ± 1.6 years and T2, 28.2 ± 0.8 years. Voxel based superimposition was performed on the anterior cranial base, using the same anatomical limits, for all the scans using both

software programs. T2 registrations from both software programs were compared with each other using the absolute closest point color map. **Results:** For the airway measurements, the reliability was high for all programs. The average measures for the two investigators showed high consistency (0.984 for the UAV and 0.960 for the MCA). ANOVA test did not show any statistical significance between the software programs in measuring the UAV and the MCA. Also Post Hoc Group Comparisons did not show significant difference between the software programs. For the 3D voxel based superimposition comparison, the quantification of the superimposition difference by color mapped surface distances showed that the absolute mean difference was less than 0.5mm in both growing and non-growing patients. **Conclusions:** The upper airway volume and maximum constriction area measurements using 4 different software packages showed no significant difference for all values, and high intra and inter-operator reliability. Also, both Dolphin3D and OnDemand3D are reliable and deliver the same voxel-based cranial base superimposition results, regardless if the patient is growing or not. This is an important step in software agreement, which allow for better inter-office communication, and for research consistency.

Response to the following questions:

1. Were the original, specific aims of the proposal realized?
The aims of the proposal have been answered through two separate manuscripts.
2. Were the results published?
 - a. If so, cite reference/s for publication/s including titles, dates, author or co-authors, journal, issue and page numbers

First manuscript has been accepted for a publication in the Journal of clinical Orthodontics. (JCO)

Elshebiny T, Elbarnashawy S, Bennisir E, Nadim M , Palomo JM. **Comparison of voxel based 3D superimposition between two software programs.** Accepted for a publication in JCO

Second manuscript is under review in the American Journal of Orthodontics(AJODO)

- b. Was AAOF support acknowledged?
Yes
3. Have the results of this proposal been presented?
 - a. If so, list titles, author or co-authors of these presentation/s, year and locations
American Association of Orthodontics Annual Meeting 2018
 - b. Was AAOF support acknowledged? Yes
3. To what extent have you used, or how do you intend to use, AAOF funding to further your career?

I plan to apply this year to continue more projects in my areas of interest which is 3D technology and printing.

Comparison of Two Software Programs in Voxel-Based Superimposition of CBCT Images

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While introducing the cephalometer in 1931, Broadbent proposed a technique for superimposing successive cephalometric films as a way to study the physical changes caused by facial growth over time.¹ This approach eventually became a standard component of orthodontic records. Since Broadbent, various authors have developed other methods of superimposing serial cephalograms in the horizontal and vertical dimensions.^{2,3} An overall superimposition is usually performed on the cranial base to evaluate growth and treatment outcomes by indicating changes in the maxillary and mandibular arches; regional superimpositions of the maxilla or mandible show dentoalveolar changes.

A third dimension has always been lacking in traditional cephalometric analysis and superimposition, even though its importance in orthodontic and surgical planning has been emphasized for decades. Several attempts have been made to visualize this third dimension,³⁻⁵ but it was the advent of cone-beam computed tomography (CBCT) and related software that enabled the assessment of all three dimensions in clinical practice.

The resolution of a CBCT image is determined by the individual volume elements (voxels) produced from the volumetric dataset.⁵ A voxel is the three-dimensional equivalent of the pixel in two-dimensional images. The size of a voxel is defined by its height, width, and depth; the voxels in CBCT scans are usually isotropic.⁶ The monochromatic gray color of a voxel represents its density value, as expressed in Hounsfield units. This



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grayscale level can be used to identify areas of higher or lower density within or between images.

With 3D imaging came the ability to perform 3D superimpositions using landmarks, surfaces, or density areas.⁶ The latter, known as voxel-based superimposition, is currently considered the most accurate and advanced method. Because the fully automated voxel-based registration method matches selected areas between two scans, it avoids some of the problems of methods that depend primarily on accurate landmark identification.

Voxel-based superimposition has been widely used in the medical field for computed tomography and magnetic resonance imaging. Introduced to the dental field by Cevidan and colleagues,^{7,8} the process has been applied in growing and non-growing patients for facial soft-tissue assessment and orthognathic surgical prediction.⁹⁻¹² Its major disadvantage is that it requires several steps to be performed in multiple software programs, consuming about an hour for a well-trained user. Recently, however, several commercial software developers have introduced voxel-based tools for cranial base superimposition that do not require the construction of surface models. These tools are relatively user-friendly, allowing superimpositions to be performed in 30-40 seconds.

Few authors have compared these rapid voxel-based superimposition programs with the reference standard for voxel-based superimposition, the Cevidan method. In one study, no significant difference was found in cranial base superimpositions of surgical patients between Dolphin 3D* software and the Cevidan method.¹³ Another study validated the use of OnDemand3D** software in growing patients and adults, concluding that the method was applicable to research and clinical practice.¹⁴ The present study compares voxel-based superimpositions of the same CBCT images using both Dolphin 3D and OnDemand3D.

Materials and Methods

This retrospective study examined preexisting CBCT scans of 30 patients from the database of the Case Western Reserve University Department of Orthodontics. There were seven males and eight females in the growing group, with a mean age of $11.8 \pm .5$ at the initial scan (T1) and $14.2 \pm .8$ at the second scan (T2), and eight males and seven females in the non-growing group, with a

*Dolphin Imaging and Management Solutions, Chatsworth, CA; www.dolphinimaging.com.

**Cybermed, Seoul, Korea; www.ondemand3d.com.

**TABLE 1
PATIENT AGES**

	Minimum	Maximum	Mean
Growing			
T1	10.0	13.0	11.8
T2	12.0	15.0	14.2
Non-Growing			
T1	23.0	27.0	24.7
T2	26.0	29.0	28.2

mean age of 24.7 ± 1.6 at T1 and $28.2 \pm .8$ at T2 (Table 1).

Each scan had been acquired using a Hitachi CB Mercuray*** scanner set at 2mA, 120kVp, large field of view, and 9.6-second scan time. The images were reconstructed with a .377mm slice thickness and exported as Digital Imaging and Communications in Medicine (DICOM) files. Any scans of craniofacial anomalies and syndromes, missing targeted fields of view, or distorted images were excluded. Pre- and post-treatment scans were superimposed on the anterior cranial base using each of the two software systems.

In Dolphin 3D’s voxel-based superimposition program, the two CBCT images are first approximated using at least three landmarks for each volume, and a position-refining tool is used to manually refine the registration (Fig. 1). The anatomical structures of the anterior cranial base are then selected on different slices of the chosen volumes using a size-adjustable box. The automated registration tool aligns the two CBCT volumes by matching the unchanged voxels within the superimposition box.

In OnDemand3D’s fusion program, the anatomical structures of the anterior cranial base are selected on axial, sagittal, and coronal slices of the CBCT volumes (Fig. 2). The automated registration tool is used to perform the superimposition, based on the intensity of the voxels’ gray levels within the anterior cranial bases of the two volumes.

Each program creates a new image called Reg-

istered T2 (RegT2), which is registered to the original T1 position in a shared-coordinate system. For our study, these RegT2 images were exported as DICOM files. Using ITK-SNAP† version 3.4.0 and 3D Slicer‡ version 4.4.0 (Intensity Segmenter and Model Maker modules), a surface model of RegT2 was created for each patient from each program.

The key to comparing the Dolphin 3D superimposition to the OnDemand3D superimposition is that the T1 scan in each method must have an unaltered acquisition position in the same coordinate system. If the two superimpositions are equally accurate, their RegT2 images will fit perfectly over each other. In this study, the differences were quantified by measuring the distances between the two surface models with closest-point color maps.

The superimposition protocol was repeated two weeks later for 10 of the patients; the resulting intraclass correlation coefficient of .90 (single measures, .86) demonstrated the reliability of the method.

Results

No clinically significant differences were found between the voxel-based superimpositions constructed using Dolphin 3D and OnDemand3D

*Dolphin Imaging and Management Solutions, Chatsworth, CA; www.dolphinimaging.com.

***Hitachi Medical Systems of America, Twinsburg, OH; www.hitachihealthcare.com.

†www.itksnap.org.

‡www.slicer.org.

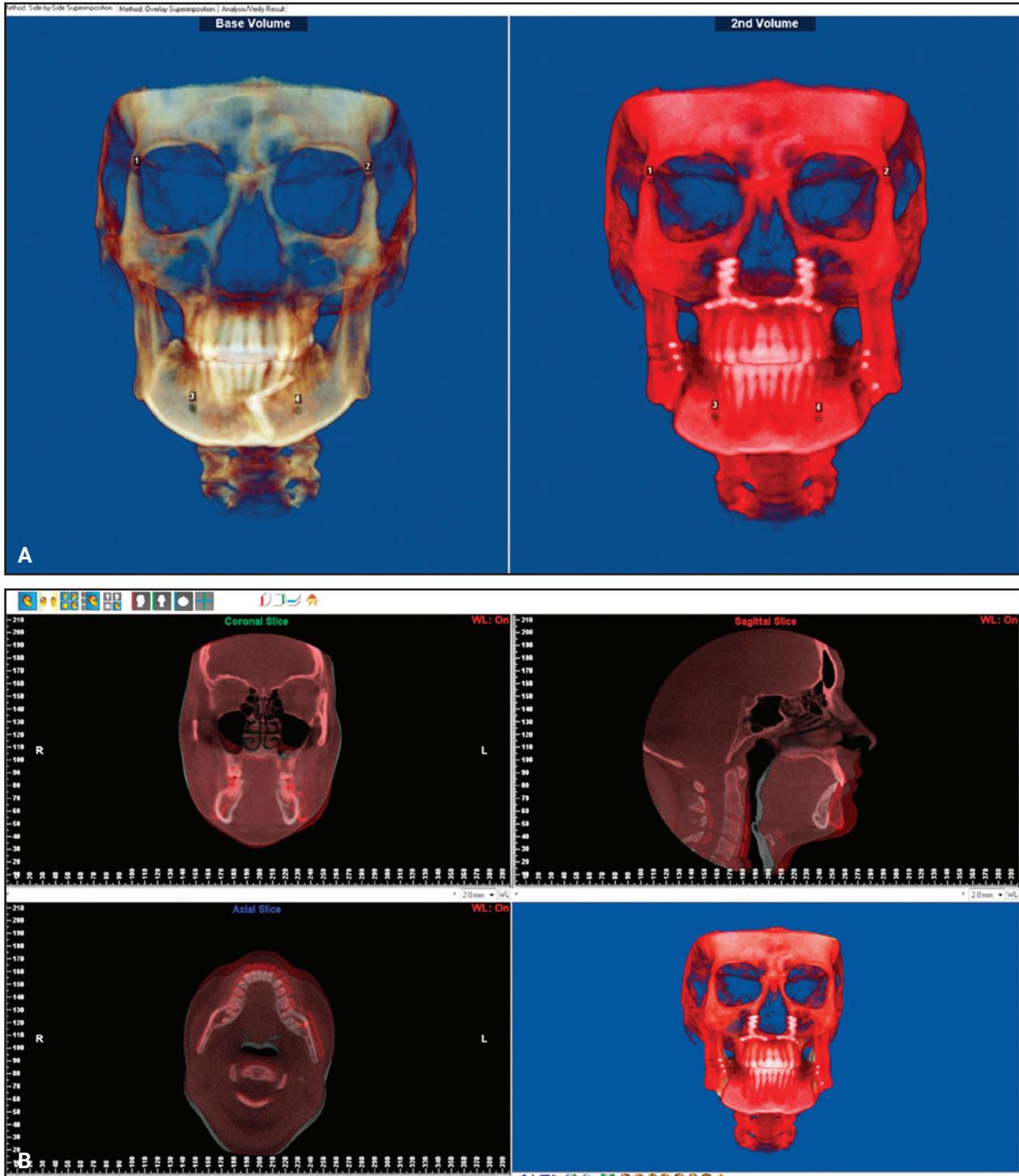


Fig. 1 Dolphin 3D.* A. Approximation of T1 and T2 using landmark method. B. Voxel-based superimposition.

COMPARISON OF TWO SOFTWARE PROGRAMS IN VOXEL-BASED SUPERIMPOSITION

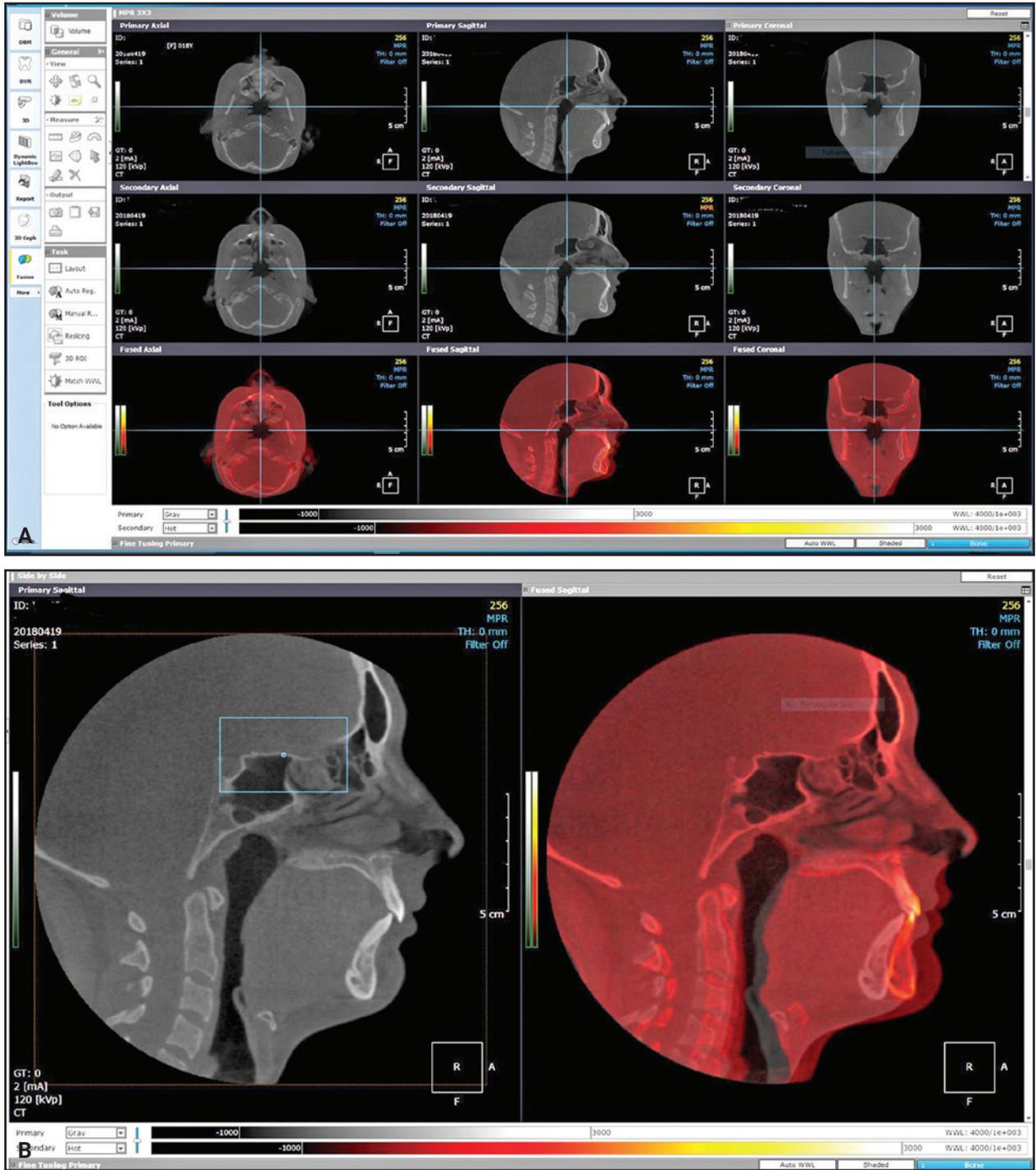


Fig. 2 OnDemand3D. A. Two volumes prior to superimposition. B. Voxel-based superimposition.**

**Cybermed, Seoul, Korea; www.ondemand3d.com.

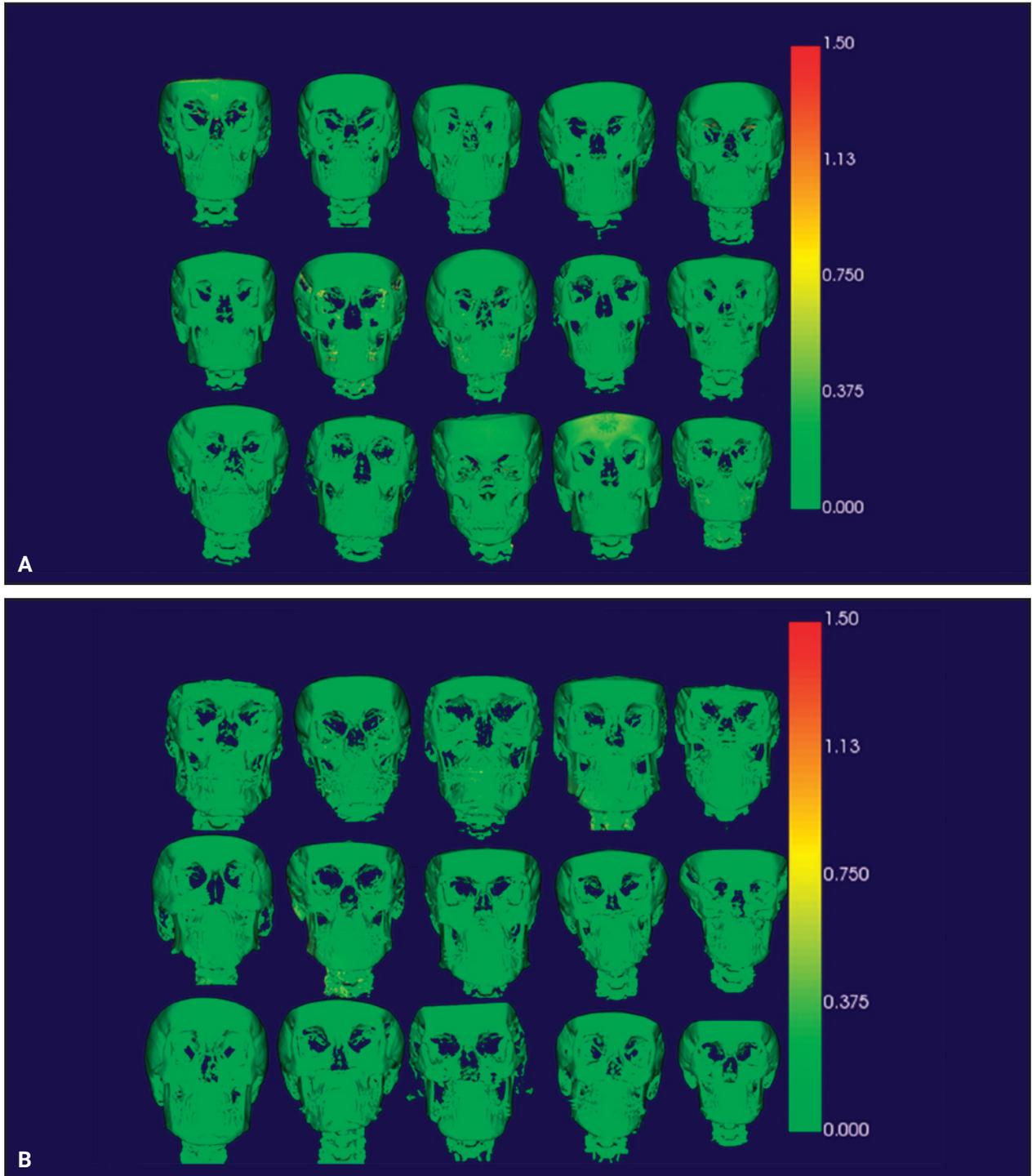


Fig. 3 Color-mapped surface distances, showing absolute mean differences between Registered T2 images of two software programs. **A.** Growing patients. **B.** Non-growing patients.

in either growing or non-growing patients. Examination of the semi-transparent axial, sagittal, and coronal cross-sectional slices of all corresponding anatomical structures confirmed adequate registration of the cranial base structures. Color-mapped surface distances showed that the absolute mean difference in superimposition was less than .5mm for both growing and non-growing patients (Fig. 3).

Discussion

Software updates often include new diagnostic or outcome assessment methods that have not been validated or independently evaluated prior to release. Such a market introduction is then followed by competitive imaging packages from other companies. To ensure proper orthodontic diagnosis, outcome assessment, and communication, it is essential that these different software packages produce consistent results. For example, the measured angulation of a lower incisor relative to the mandibular plane should be about the same regardless of the software used, so that every orthodontist can evaluate the situation in the same way. Unfortunately, this kind of consistency has not always been achieved during the transition from 2D to 3D diagnostic systems.⁴ The purpose of the present study was to compare the accuracy of rapid voxel-based superimpositions from two commercially available software programs.

Weissheimer and colleagues reported a superimposition error of less than .5mm with OnDemand3D software, leading them to conclude that this voxel-based superimposition method was reproducible under various clinical conditions.¹⁴ Our study found a difference of less than .5mm between OnDemand3D and Dolphin superimpositions, indicating that both programs are clinically acceptable.

Although voxel-based superimpositions are mostly automated, using thousands of landmarks and density data, their accuracy still depends on identifying an area in which changes will not occur between two time points, based on the clinician's knowledge of facial growth and development. Voxel-based superimposition reduces the impact of operator error, but does not completely eliminate it. Studies such as ours demonstrate only that dif-

ferent algorithms will arrive at the same result. In this case, both software packages were shown to provide user-friendly voxel-based superimposition tools for clinical use.

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